

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
H0001589-D1 (13358.6USD1)			J. DAVIE	2828

CERTIFICATE UNDER 37 CFR 1.10:  
 "Express Mail" mailing label number: EV322886695US  
 Date of Deposit: September 24, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: *Teresa Anderson*  
 Name: Teresa Anderson

03940 U.S. PTO  
 10/66920  
  
 09/24/03

DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b) of Serial No. 10/037,013, filed on December 31, 2001 entitled OPTOELECTRONIC DEVICES AND METHODS OF PRODUCTION by the following inventor(s):

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	PLYMOUTH	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country
	16925 21S AVENUE NORTH	PLYMOUTH	MINNESOTA 55447/USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	JOHNSON	KLEIN	L.
Post Office Address	Post Office Address	City	State & Zip Code/Country
	ST. PAUL	MINNESOTA	USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	BAIER	STEVEN	M.
Post Office Address	Post Office Address	City	State & Zip Code/Country
	MINNETONKA	MINNETONKA	USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	15381 HIGHLAND PLACE	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country

1.  Enclosed is a copy of the prior application; including the specification, claims, drawings, a signed oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 19 pages of specification, 23 claims, 1 page of abstract, 6 sheets of formal drawings, and 5 pages of oath or declaration.

- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2.  Cancel original claims 1-12 of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3.  The filing fee is calculated below:

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA	RATE	FEE
<b>TOTAL CLAIMS:</b> 11	-20	0	x \$18.00
<b>INDEPENDENT CLAIMS</b> 1	-3	0	x \$84.00
			<b>BASIC FILING FEE:</b> \$750.00
			<b>TOTAL FILING FEE:</b> 750.00

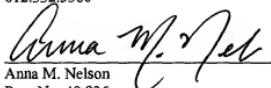
- Small entity status is claimed pursuant to 37 CFR 1.27.
4.  Payment of fees:  
 Attached is a check in the amount of  
 Please charge Deposit Account No. 01-1125.
5.  The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
6.  Amend the specification by inserting before the first line the sentence:  
 "This application is a divisional of application Serial No. 10/037,013, filed December 31, 2001, which application(s) are incorporated herein by reference."
7.  A set of formal drawings ( sheets) is enclosed.
8.  Priority of application Serial No. , filed on in , is claimed under 35 U.S.C. 119.  
 The certified copy has been filed in prior application Serial No. , filed .
9.  The prior application is assigned of record to Honeywell International Inc. located at Morristown, New Jersey.
10.  The Power of Attorney in the prior application is to:

Andrew Abeyta  
 Honeywell International Inc.  
 101 Columbia Road  
 P.O. Box 2245  
 Morristown, New Jersey 07962

11.  A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11.  Fee for excess claims is attached.
12.  A petition and fee has been filed to extend the term in the prior application until . A copy of the petition for extension of time in the prior application is attached.
13.  The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14.  A Nonpublication Request under 37 CFR 1.213(a) is enclosed.
15.  Also Enclosed: Information Disclosure Statement and Form 1449 and Fee Transmittal
16.  Address all future communications to the Attention of Andrew Abeyta, Honeywell International Inc., 101 Columbia Road, P.O. Box 2245, Morristown, New Jersey 07962 (may only be completed by attorney or agent of record).
17.  A return postcard is enclosed.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

  
Anna M. Nelson  
Reg. No. 48,935  
ANelson:PSTkaw

Date: September 24, 2003

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09/24/03  
U.S. PTO

# FEE TRANSMITTAL For FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$750

## COMPLETE IF KNOWN

Application No.	NEW FILING
Filing Date	September 24, 2003
First Named Inventor	Liu
Examiner Name	Not assigned
Group Art Unit	Not assigned
Attorney Docket No.	H0001589-DI(13358.6USD1)

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other Deposit Account

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayment Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code \$ 740	Fee Code \$ 201	Utility Filing Fee	750
101 740	201	370	
106 330	205	165	
107 610	207	255	
108 740	208	370	
114 180	214	80	
SUBTOTAL (1)			\$750

## 2. EXTRA CLAIM FEES

Total Claims	-30%*	x	Fee from below	Fee Paid
11	-30%	x	\$1.00	-\$-
Independent	-3 -	x	\$4.00	-\$-
Multiple Dependent Claims	-0 -	x	\$30.00	-\$-

## Large Entity

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code \$ 15	Fee Code \$ 203	Claims in excess of 20	-\$-
102 64	202	**Multiple claims in excess of 3	-\$-
104 280	204	140	
109 64	208	42 **Multiple independent claims over original patent	-\$-
110 18	210	9 **Multiple claims in excess of 20 and over original patent	-\$-
SUBTOTAL (2)			\$ - 0 -

\*Number previously paid if greater; see above for details

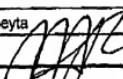
## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code \$ 105	Fee Code \$ 205	Surcharge - late filing fee or cash	
127	50	227 2.25% Surcharge - late provisional filing fee or cover sheet	
130	130	130 Non-English specification	
147	2,520	147 Requesting publication of SIR prior to Examiner action	
112	920*	112 Requesting publication of SIR after Examiner action	
113	1,840*	113 Requesting publication of SIR after Examiner action	
115	110	215 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 400 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
125	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
136	1,510	136 1,510 Petition to institute a public use proceeding	
140	110	240 65 Petition to revive - Unallowable	
141	1,280	241 640 Petition to revive - Unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 150 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(k)	
126	180	126 180 Submission of Information Disclosure Statement	
161	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR 1.129(e))	
149	740	249 370 For each additional invention to be examined (37 CFR 1.129(f))	
179	740	278 370 Request for Continued Examination (RCE)	
180	600	169 200 Request for expedited examination of a design application	
196	300	195 300 Publication fee	
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$750

## SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Complete (if applicable)
Signature		Telephone	602-313-3345	

Date Sep. 24, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.